

SECURITY OWNERSHIP OF CERTAIN BENEFICIAL OWNERS AND MANAGEMENT

The following table indicates the amount of Duke Energy common stock, beneficially owned by the current directors, the executive officers listed in the Summary Compensation Table under Executive Compensation (referred to as the NEOs), and all directors and executive officers as a group as of March 4, 2019. There were 727,645,547 shares of Duke Energy common stock outstanding as of March 4, 2019.

Name or Identity of Group	Total Shares Beneficially Owned ⁽¹⁾	Percent of Class
Michael G. Browning	82,785	*
Annette K. Clayton	595	*
Theodore F. Craver, Jr.	6,035	*
Robert M. Davis	2,690	*
Daniel R. DiMicco	49,163	*
John H. Forsgren	21,423	*
Lynn J. Good	170,928	*
John T. Herron	17,873	*
James B. Hylar, Jr.	22,027	*
Dhiaa M. Jamil	12,459	*
Julia S. Janson	23,794	*
William E. Kennard	10,627	*
E. Marie McKee	149	*
Charles W. Moorman IV	9,222	*
Marya M. Rose	304	*
Carlos A. Saladrigas	4,792	*
Thomas E. Skains	20,422	*
William E. Webster, Jr.	3,357	*
Lloyd M. Yates	47,106	*
Steven K. Young	66,227	*
Directors and executive officers as a group (24)	672,881	*

* Represents less than 1%.

- (1) Includes the following number of shares with respect to which directors and executive officers have the right to acquire beneficial ownership within 60 days of March 4, 2019: Mr. Browning – 25,507; Ms. Clayton – 0; Mr. Craver – 297; Mr. Davis – 2,075; Mr. DiMicco – 18,691; Mr. Forsgren – 17,995; Ms. Good – 0; Mr. Herron – 0; Mr. Hylar – 13,524; Mr. Jamil – 0; Ms. Janson – 0; Mr. Kennard – 10,627; Ms. McKee – 149; Mr. Moorman – 4,257; Ms. Rose – 0; Mr. Saladrigas – 1,756; Mr. Skains – 0; Mr. Webster – 2,296; Mr. Yates – 0; Mr. Young – 0; and all directors and executive officers as a group – 97,174.

Supplemental Table – Including Ownership of Units Representing Common Stock

The table below shows ownership of both Duke Energy common stock (listed in the table above as defined by SEC regulations) as well as units (not listed in the table above) related to Duke Energy common stock under the Directors' Savings Plan or the Executive Savings Plan, as applicable, which units do not represent an equity interest in Duke Energy and possess no voting rights, but are equal in economic value to one share of Duke Energy common stock.

Name	Number of Units
Michael G. Browning	114,033
Annette K. Clayton	595
Theodore F. Craver, Jr.	7,813
Robert M. Davis	2,690
Daniel R. DiMicco	50,594
John H. Forsgren	21,423
Lynn J. Good	171,006
John T. Herron	17,873
James B. Hylar, Jr.	34,124
Dhiaa M. Jamil	14,422
Julia S. Janson	24,013
William E. Kennard	10,627
E. Marie McKee	61,368
Charles W. Moorman IV	10,882
Marya M. Rose	304
Carlos A. Saladrigas	44,893
Thomas E. Skains	20,422
William E. Webster, Jr.	5,224
Lloyd M. Yates	59,051
Steven K. Young	66,748

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The following table lists the beneficial owners of 5% or more of Duke Energy's outstanding shares of common stock as of December 31, 2018. This information is based on the most recently available reports filed with the SEC and provided to us by the company listed.

Name or Identity of Beneficial Owner	Shares of Common Stock Beneficially Owned	Percentage
The Vanguard Group 100 Vanguard Blvd. Malvern, PA 19355	56,503,147	7.92%
BlackRock Inc. 40 East 52nd Street New York, NY 10022	48,270,073	6.80%

- (1) According to the Schedule 13G/A filed by The Vanguard Group, these shares are beneficially owned by The Vanguard Group, which is the parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G) to various investment companies, and has sole voting power with respect to 961,043 shares, 421,555 shares with shared voting power, sole dispositive power with regard to 55,293,994 shares, and 1,209,153 shares with shared dispositive power.
- (2) According to the Schedule 13G/A filed by BlackRock Inc., these shares are beneficially owned by BlackRock Inc., which is the parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G) to various investment companies, and has sole voting power with respect to 42,774,054 shares, no shares with shared voting power, sole dispositive power with regard to 48,270,073 shares, and no shares with shared dispositive power.