

Enrollment Form

PLEASE PRINT

Are you a registered shareholder? Yes No

Questions? Call 1-800-488-3853 M-F, 8 a.m. to 5 p.m. Eastern time.

E-mail:
InvestDUK@duke-energy.com

1. Account Registration

Type of Account (Please check one box and provide all requested information.)

Individual or Joint: Joint accounts will be presumed to be joint tenants with rights of survivorship and not tenants in common, unless tenants in common, tenants by the entirety, or community property registrations are requested. Only one Social Security Number or Tax Identification Number is required for tax reporting.

Owner's First Name	M.I.	Last Name	Owner's Social Security No. or Tax Identification No.	Joint Owner's First Name	M.I.	Last Name
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Custodial: A minor is the beneficial owner of the account with an adult custodian managing the account until the minor reaches the age of majority, as specified in the Uniform Gifts/Transfers to Minors Act in the minor's state of residence.

Custodian's First Name	M.I.	Last Name	Minor's First Name	M.I.	Last Name	Minor's Soc. Sec. No.	Minor's State of Residence
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Trust: Account is established in accordance with provisions of a trust agreement.

Trustee Name(s)	Name of Trust	Trust Date	Trust Tax ID No.
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Corporation, Partnership, or Other Entity:

Business Name	Tax ID No.
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2. Account Address

Street _____

City _____ State _____ ZIP _____

() _____ () _____

Daytime Phone _____ Evening Phone _____

3. Initial Investments (Make checks payable to Duke Energy.)

As a new investor, I wish to enroll in the Plan by making an initial investment. Enclosed is my check or money order for \$ _____
(Initial investments must be at least \$250, but cannot exceed \$100,000.)

4. Dividend Reinvestment Election

You may choose to reinvest all, part, or none of the cash dividends paid on Duke Energy Common Stock. Please check one box below to indicate your reinvestment election. **(If you do not check a box, you will be deemed to have selected the "Full Reinvestment" option.)**

Full Reinvestment

Reinvest all dividends payable on all shares including Plan shares.

Partial Reinvestment

Pay dividends in cash on _____ shares of my Common Stock, _____ shares in my Plan Account and _____ shares of my Preferred Series _____.
Reinvest the dividend on any remaining shares.

Optional Investments Only/No Dividend Reinvestment

You will receive cash dividends on all shares including Plan shares.

To receive information about having your dividends deposited directly to your bank account, check here.

PLEASE COMPLETE SECTIONS 6 & 7 ON THE REVERSE SIDE OF THIS FORM.

5. Authorization for Monthly Investments

I (we) hereby authorize Duke Energy to make monthly automatic withdrawals from my (our) checking/savings account in the amount indicated below to invest in shares of Duke Energy Common Stock pursuant to the terms of the InvestorDirect Choice Plan.

1. Type of Account Checking Savings

2. Automatic Monthly Investment Amount (*Minimum draft amount \$25.00*) \$ _____.

3. Name on Account _____

4. Signature (*Sign as Name Appears on Account*) _____

John Doe 422 South Church Street Charlotte, NC 28201	1234
Pay to the order of _____	Date _____
_____ Dollars	\$ <input type="text"/>
FIRST BANK USA CHARLOTTE, NC 28264	
For _____	
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15	

Attach voided check here.

To be completed by your financial organization only if a voided check cannot be supplied or your account is with a credit union or savings & loan.

Name of Financial Organization

Bank Routing Number

Checking or Savings

Bank Account Number

Authorized Signature of Financial Organization

6. Substitute Form W-9 (required for a U.S. person including a resident alien). If you are a foreign person in need of a Form W-8, check here.

Under penalties of perjury, I certify that:

- The number shown in Section 1 is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a

failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

- I am a U.S. person (including a U.S. resident alien).

Certification Instructions — You must cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return. For a joint account, only the person whose Tax Identification No. is shown in Section 1 should sign.

Taxpayer Signature

Date

7. Signatures

The signatures below indicate that I/we have read the Duke Energy InvestorDirect Plan Prospectus and agree to the terms.

Signature(s)

Date