

Participating Heating Dealer and Builder Sign-Up Form For Duke Energy's Smart \$aver™ Program

This form must be returned to Duke Energy Ohio, Inc. ("Duke Energy Ohio") to participate in Duke Energy Ohio's Smart \$aver™ program.

Smart \$aver™ Program Requirements for New and Existing Homes

- A new heat pump or air conditioner installed in a new or existing home must have a SEER rating of 14.00 or greater and also include an Electronically Commutated fan Motor (ECM) on the indoor furnace.
- A new gas furnace installed in a new or existing home must have an AFUE rating of 90 percent or greater as listed by the Gas Appliance Manufacturers Association (GAMA) www.gamapower.org.
- The new HVAC system must include a properly matched outdoor unit and inside coil, and the matched system must be listed as such by the American Refrigeration Institute (ARI) www.ahrirectory.org.
- New Geothermal heating systems must have an EER of 10.5 or greater, include an ECM fan motor and be listed by ARI.
- The system AFUE, SEER or EER rating must be validated by the heating dealer. Include the AFUE, SEER or EER rating and the GAMA model ID or ARI certification number on the Smart \$aver™ application form and attach a copy of the GAMA and/or ARI certificate.
- Window air conditioning units are not eligible for incentives.
- The new gas furnace, heat pump and/or central air conditioning system must serve the entire home, or if more than one system is installed, all systems must meet the above requirements.

Incentive Eligibility

- Existing homes will be eligible for incentives if a new qualifying HVAC system is installed on or after July 16, 2007. New homes will be eligible for incentives if a new qualifying HVAC system is installed on or after July 16, 2007.
- Incentives are only available for qualifying gas furnaces, heat pumps or air conditioners installed for Duke Energy Ohio electric residential retail customers residing in a single-family home, condominium or duplex (excludes mobile homes and apartments).
- Duke Energy customers receiving their natural gas service from Duke Energy must also receive their electric service from Duke Energy to be eligible for gas furnace incentives. The customer's electric service provider can be confirmed by looking at the customer's electric bill. The customer account number from this billing statement should be included on the incentive application for account verification purposes.
- Incentives will not be paid until each eligible system has been installed and is operable.
- Duke Energy Ohio reserves the right to revise program requirements and incentive levels at any time.
- Incentives are subject to availability. Current incentive amounts and the intended recipients for the incentives are posted online at www.duke-energy.com.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy Ohio's incentive payment.
- A Smart \$aver™ Application Form must be submitted for each new HVAC system. Incomplete applications or those failing program requirements will be returned via fax or e-mail notification.
- Incentive applications must be signed by the heating dealer for existing homes and by the builder for new homes and must be submitted within 90 days of the new system installation.

Terms & Conditions

I certify that the information I provide on the Smart \$aver™ application forms will be accurate and complete. I understand that the proposed incentive payment from Duke Energy Ohio is subject to change based on availability, verification and Duke Energy Ohio approval. I agree to Duke Energy Ohio's verification of the installed equipment for each application submitted, which may include a site inspection by a Duke Energy Ohio representative or Duke Energy Ohio agent. I understand that I may not receive more than one incentive from Duke Energy Ohio on any new system installation. I also understand that any incentives paid to me for participating in the program may be taxable. I hereby agree to indemnify, hold harmless and release Duke Energy Ohio and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from any incidental or consequential damages. In consideration of the above, I agree to be included in Duke Energy Ohio's list of participating Heating Dealers or Builders.



Signature _____

Substitute W-9

For individuals, your taxpayer identification number (TIN) is your Social Security Number (SSN). For sole proprietors, you must furnish your individual name and either your SSN or Employer Identification Number (EIN). You may also enter your business name on the business name line. Enter your name(s) as shown on your SS card and/or as it was used to apply for your EIN on Form SS-4. For other entities, your EIN is your TIN. If you have any more questions, please obtain the actual Form W-9 from the Federal Government and follow the instructions on that form.

Substitute for Federal Form W-9:

OR

_____-_____-_____-_____-_____-_____-
Social Security Number

_____-_____-_____-_____-_____-_____-
Employer ID Number

Enter your TIN in the appropriate box. (Questions? See note above)

Certification—Under penalties of perjury, I certify that:

- (1) The number shown on this form is my correct taxpayer ID number (or I am waiting for a number to be issued to me), and
- (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a failure to report all interests or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

Certification instructions. You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return.

Business name or Individual Name (if using SSN)

Signature

Date

Company information:

Company name

HVAC dealer or Builder?

Company Address

Company phone number

Company City, State, zip

Company fax number

If Business name was used in W9 form above, list contact name(s)

Contact phone number

Email Address

2nd Contact phone number

Fax this two page signed form to: 1-866-908-4921

Or,

***Mail this two page signed form to: Duke Energy Smart Saver
431 Charmany Dr.
Madison, WI 53719***