

## Duke Energy Residential Smart \$aver™ Program (North & South Carolina) Participating HVAC Dealer and Builder Sign-Up Form

This form must be returned to Duke Energy to participate in Duke Energy's Smart \$aver program.

### Smart \$aver Program Requirements for new and existing homes

- A new heat pump or air conditioner system (HVAC system) must have a SEER rating of 14.00 or greater and also include an Electronically Commutated fan Motor (ECM) on the indoor furnace.
- The new HVAC system must include a properly matched outdoor unit and inside coil, and the matched system must be listed as such by the Air Conditioning, Heating and Refrigeration Institute (AHRI).
- New Geothermal heating systems must have an EER of 11.5 or greater, include an ECM fan motor, and be listed by AHRI.
- New heat pump systems must have an HSPF of 8.2 or greater.
- The ARI SEER or EER and HSPF rating, as well as the ARI certification number, must be provided on the incentive application form. Attach a copy of the ARI certificate, found at: [www.ahridirectory.org](http://www.ahridirectory.org).
- Window air conditioning units are not eligible for incentives.

### Incentive Eligibility

- Incentives are only available for qualifying heat pumps or air conditioners installed for Duke Energy electric residential retail customers residing in a single-family home, condominium, duplex or mobile home (excludes multifamily). The customer's electric service provider can be confirmed by looking at the customer's electric bill. The customer account number from this billing statement should be included on the incentive application for account verification purposes.
- Incentive application forms will not be accepted until the new system has been installed and is operable.
- Duke Energy reserves the right to revise program requirements and incentive levels at any time. Incentives are subject to availability. Current incentive amounts and the intended recipients for the incentives are posted on-line at [www.duke-energy.com](http://www.duke-energy.com).
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- A Smart \$aver Application Form must be submitted for each new HVAC system.
- Applicants sending incomplete or failing applications will be notified by fax, phone or e-mail.
- Incentive applications must be signed by the heating dealer for existing homes and by the builder for new homes and must be submitted within 90 days of the new system installation.
- In an existing home, if the old HVAC system was natural gas or in a new home where natural gas is available, to be eligible for incentives, a new heat pump must be installed as part of a dual fuel system that uses natural gas as the supplemental heat source. Air conditioning incentives apply to all qualifying home types. See [www.duke-energy.com](http://www.duke-energy.com) Smart Saver FAQ webpage for more details on gas availability.
- **Greenwood County SC exception** – Most Duke Energy residential customers are eligible for the Smart Saver incentive program however some Duke Energy customers in Greenwood County, South Carolina (and a very small number in surrounding counties), are still on the old Greenwood County electric rates which are closed and the prices were frozen in 1966. Customers on these rates are not eligible for the Smart Saver incentives.

### Terms & Conditions

I certify that the information I provide on the Smart \$aver application forms will be accurate and complete. I understand that the proposed incentive payment from Duke Energy is subject to change based on availability, verification and Duke Energy approval. I agree to Duke Energy's verification of the installed equipment for each application submitted, which may include a site inspection by a Duke Energy representative or Duke Energy agent. I understand that I may not receive more than one incentive from Duke Energy on any new, single system installation. I also understand that any incentives paid to me for participating in the program may be taxable. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials ) covered herein including liability from any incidental or consequential damages. In consideration of the above, I agree to be included in Duke Energy's regional list of participating HVAC Dealers or Builders.



Signature \_\_\_\_\_

**Substitute W-9**

For individuals, your taxpayer identification number (TIN) is your Social Security Number (SSN). For sole proprietors, you must furnish your individual name and either your SSN or Employer Identification Number (EIN). You may also enter your business name on the business name line. Enter your name(s) as shown on your SS card and/or as it was used to apply for your EIN on Form SS-4. For other entities, your EIN is your TIN. If you have any more questions, please obtain the actual Form W-9 from the Federal Government and follow the instructions on that form.

**Substitute for Federal Form W-9:**

OR

\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-  
Social Security Number

\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-\_\_\_\_\_-  
Employer ID Number

Enter your TIN in the appropriate box. (Questions? See note above)

Certification—Under penalties of perjury, I certify that:

- (1) The number shown on this form is my correct taxpayer ID number (or I am waiting for a number to be issued to me), and
- (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a failure to report all interests or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

*Certification instructions.* You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return.

\_\_\_\_\_  
Business name or Individual Name (if using SSN)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

***Company information:***

\_\_\_\_\_  
Company name

\_\_\_\_\_  
HVAC dealer or Builder?

\_\_\_\_\_  
Company Address

\_\_\_\_\_  
Company phone number

\_\_\_\_\_  
Company City, State, zip

\_\_\_\_\_  
Company fax number

\_\_\_\_\_  
If Business name was used in W9 form above, list contact name(s)

\_\_\_\_\_  
Contact phone number

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
2<sup>nd</sup> Contact phone number

***Fax this two page signed form to: 1-866-908-4921***

Or,

***Mail this two page signed form to:***

***Duke Energy Smart \$aver  
431 Charmany Dr.  
Madison, Wi 53719***