

Certified Supplier Registration & Credit Application

An applicant shall forward this completed and signed application and accompanying information to the following address:

Duke Energy Ohio, Inc
Certified Supplier Business Center
P. O. Box 960
Mail Location: EY575
Cincinnati, OH 45201-0960

1. Applicant /Contacts

Applicant/Corporate Name: _____

Mailing Address: _____

City, State, Zip: _____

Telephone Number: _____

State of Incorporation: _____ Years in Business: _____

Federal Tax ID: _____ D&B Duns #: _____

Internet Web Site Address: _____

Primary Contact Name: _____

Title: _____

Mailing Address: _____

City, State, Zip: _____

Telephone Number: _____ Fax Number: _____

E-mail Address: _____

Credit/Financial Contact: _____

Title: _____

Mailing Address: _____

City, State, Zip: _____

Telephone Number: _____ Fax Number: _____

E-mail Address: _____

24-hour Operations Contact Telephone Number: _____

Toll Free End-Use Customer Contact Number: _____

Parent Company Name: _____

Mailing Address: _____

City, State, Zip: _____

Telephone Number: _____

State of Incorporation: _____ Years in Business: _____

Federal Tax ID: _____ D&B Duns #: _____

Internet Web Site Address: _____

2. Wiring (Bank) Information

Bank Name: _____ ABA Number: _____
Contact: _____ Account Number: _____
Address: _____ Telephone: _____
City, State, Zip: _____

3. Credit Information

Please provide three (3) most recent credit references:

Company Name: _____ Contact: _____
Telephone No.: _____

Company Name: _____ Contact: _____
Telephone No.: _____

Company Name: _____ Contact: _____
Telephone No.: _____

4. Bank Reference

Bank Name: _____ Account Officer: _____
Address: _____ Account Number: _____
City, State, Zip: _____

5. Supplemental Data

Please provide the following information for you and your parent company:

- A. Two (2) most recent Annual Reports
- B. Most recent SEC Form 10-K and 10-Q; or, if SEC Form 10-K is unavailable substitute with audited annual financial information (including a balance sheet, income statement, and cash flow statement)
- C. Most recent quarterly or monthly financial information (including a balance sheet, income statement, and cash flow statement) accompanied by an attestation by Applicant's Chief Financial Officer that the information submitted is true, correct, and a fair representation of Applicant's financial condition.
- D. Long-term Bond Rating
Moody's: _____ S&P: _____
Fitch: _____ Duff & Phelps: _____
- E. Parent Long-term Bond Rating
Moody's: _____ S&P: _____
Fitch: _____ Duff & Phelps: _____

Please provide proof of the following:

- F. FERC Power Marketer License No./Date: _____
- G. Date Electronic Data Exchange Capabilities approved: _____
Electronic Data Exchange Testing in process or not yet started:
- H. Date Completed Duke Energy Ohio, Inc training for Certified Suppliers: _____
Have not yet attended a Duke Energy Ohio, Inc Supplier Training class:
- I. PUCO Certification Number: _____ Expiration Date: _____
(include copy of certificate)
Certification in process – Certificate and No. to be provided:
- J. Class of Customer to Serve: Residential:
(check one or both) Commercial & Industrial:
- K. MISO Market Participant Registration

6. Representations

Is the applicant and/or their parent:	Applicant		Parent	
	Yes	No	Yes	No
A. Operating under federal bankruptcy laws?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
B. Subject to pending litigation or regulatory proceedings in state or federal courts and/or agencies which could impact the Applicant's and/or Parent's financial condition?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C. Subject to collection lawsuits or outstanding judgements which could impact solvency?	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D. Mandatory disclosure of prior bankruptcy declarations:				

7. Alternative Credit Arrangements

If the Applicant is unable to provide Duke Energy Ohio, Inc with the above-mentioned information, the Applicant must make alternative credit arrangement, which may include:

- A. A guarantee from a creditworthy Guarantor in a format acceptable to Duke Energy Ohio, Inc for an amount covering 30 days of estimated summer usage. The guarantee must be submitted with proof of Guarantor's credit worthiness by including the documents listed in the Supplemental Credit Data section of this application; or
- B. An irrevocable Letter of Credit from a financial institution with an A- or higher rating in a format acceptable to Duke Energy Ohio, Inc covering 30 days of estimated summer usage;
- C. A cash deposit covering 30 days of estimated summer usage.

8. Estimated Peak Load

Estimated Summer Peak Load in MWs: _____
Estimated Load Factor: _____

9. Certification, Authorization, and Signature:

Duke Energy Ohio, Inc will treat all financial information and statements provided by Applicant in a confidential manner.

Applicant will notify Duke Energy Ohio, Inc of any change to the information contained in the application or of any change in financial condition.

Applicant certifies that the information herein is complete and accurate to the best of Applicant's knowledge, information, and belief, and that the individual signing below is an authorized representative of the Applicant.

Applicant hereby authorizes Duke Energy Ohio, Inc to obtain any information that may be required relative to this Application from any source, including Applicant's financial and trade references. Applicant also hereby authorizes each source to provide such information.

Legal Name of Applicant: _____

Signature of Authorized Representative: _____

Name (please print or type): _____

Title: _____

Sworn Before: _____

Date: _____