



# Kentucky Food Services Incentive Application

Questions? Call 1-866-380-9580 or visit [www.duke-energy.com](http://www.duke-energy.com).

Mail original, signed application and required documents to: Duke Energy • 431 Charmany Drive • Madison, WI 53719 or fax to 1-866-908-4921

Is this application:  **NEW** (original) or  **REVISED** (changes made to original application)  **Reservation** \_\_\_\_\_ (est. completion date)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		

Customer Information				
Customer/Business		Contact		
Phone		<b>Account Number</b> (where equipment installed)*		
Street Address (Where incentive should be mailed)				
City		State		Zip Code
Installation Street Address				
City		State		Zip Code
E-mail Address				

*\*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information				
Vendor		Contact		
Phone		Fax		
Street Address				
City		State		Zip Code
E-mail Address				

If Duke Energy has questions about this application, who should we contact?  Customer  Vendor

Payment Information		
Who should receive incentive payment?	<input type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements as stated on Page 5 of this form.			
Customer Signature (written signature)		Vendor Signature (written signature)	
Date		Date	
Title		Title	

*Incentives are subject to change and availability – "first-come", first-served" basis. To reserve incentives, see page 4. Duke Energy limits incentives to \$50,000 per fiscal year (July 1 through June 30) per facility for all technologies combined. Equipment must be installed and operable to eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.*

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

**Vending Equipment Controller**

Description	Incentive	Make/Model #	# of Units	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
<i>See page 4 for equipment requirements</i>						
Vending Equipment Controller (retrofit only)	\$50.00/controller					
Vending Equipment Controller (retrofit only)	\$50.00/controller					

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

**Anti-Sweat Heater Control**

Description	Incentive*	Make/Model #	# of Doors	Cost of Project	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
<i>See page 4 for equipment requirements</i>							
Anti-Sweat Heater Controls	\$40.00/door						
Anti-Sweat Heater Controls	\$40.00/door						

\* Incentive based on total number of doors and capped at 50% of project cost. New and retrofit applications are eligible.

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

**Head Pressure Control**

Description	Incentive*	Make/Model #	# of Tons	Cost of Project	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
<i>See page 4 for equipment requirements</i>							
Head Pressure Controls	\$60.00/door						
Head Pressure Controls	\$60.00/door						

\* Incentive cap at 50% of project cost

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

**Night Covers For Displays**

Description	Incentive	Make/Model#	Total Lineal Feet Installed	# of Display Cases	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
<i>See page 4 for equipment requirements</i>							
Night covers for displays	\$5/lineal ft.						
Night covers for displays	\$5/lineal ft.						

\*Incentive capped at 50% of the Equipment + Labor (external labor only) costs but not to exceed the \$5/lineal ft. incentive.

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

Efficient Refrigeration Condenser							
Description	Incentive*	Make/Model #	# of Units	Tons per Unit	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
Efficient Refrigeration Condenser	\$12/ton						
Efficient Refrigeration Condenser	\$12/ton						

\* Incentive cap at 50% of project cost

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

Energy Star® Ice Maker							
Description	Incentive*	Make/Model #	# of Units	Tons per Unit	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive
Energy Efficient Ice Machines <500 lbs/24 hrs	\$150/unit						
Energy Efficient Ice Machines 500-1000 lbs/24 hrs	\$250/unit						
Energy Efficient Ice Machines >1000 lbs/24 hrs	\$500/unit						

The Equipment below is (check one):  New Equipment  Retrofit Equipment  Replace Failed Equipment

ENERGY STAR® Commercial Solid Door Refrigerator /Freezer							
Description	Incentive*	Make/Model #	# Unit	Equipment Cost	Date Installed and Operable (mm/yy)	Total Incentive	
<b>ENERGY STAR® Solid Door Reach-in Freezers</b>							
< 15 ft <sup>3</sup>	\$ 50.00						
15 – 30 ft <sup>3</sup>	\$ 75.00						
31 – 50 ft <sup>3</sup>	\$ 100.00						
> 50 ft <sup>3</sup>	\$ 125.00						
<b>ENERGY STAR® Solid Door Reach-in Refrigerators</b>							
< 15 ft <sup>3</sup>	\$ 50.00						
15 – 30 ft <sup>3</sup>	\$ 75.00						
31 – 50 ft <sup>3</sup>	\$ 100.00						
> 50 ft <sup>3</sup>	\$ 125.00						
<b>ENERGY STAR® Glass Door Reach-in Refrigerators</b>							
< 15 ft <sup>3</sup>	\$ 50.00						
15 – 30 ft <sup>3</sup>	\$ 75.00						
31 – 50 ft <sup>3</sup>	\$ 100.00						
> 50 ft <sup>3</sup>	\$ 125.00						
<b>ENERGY STAR® Glass Door Reach-in Freezers</b>							
< 15 ft <sup>3</sup>	\$ 50.00						
15 – 30 ft <sup>3</sup>	\$ 75.00						
31 – 50 ft <sup>3</sup>	\$ 100.00						
> 50 ft <sup>3</sup>	\$ 125.00						

## Program Requirements

### Food Services Specifications

- Vending Equipment Controllers: Designed to control cold drink machines dispensing non-perishable items. Controllers must include passive infrared sensor to turn off lighting and control compressor when area is unoccupied. Controller shall have the capability to cycle compressor to maintain product temperature at a maximum desired level. Setting of this maximum temperature level is up to the user. Due to the potential for damage to perishable products, freezer space vending equipment as well as vending equipment dispensing any perishable products are excluded from this program.
- Night Covers for Displays: To be eligible for incentives, the covers must be applied to low and medium temperature, open cases (horizontal or vertical). Covers must be applied a minimum of 6 hours per day. New or retrofit applications are eligible.
- Night Covers for Displays – Film type covers have small, perforated holes to decrease moisture buildup. Covers need to be permanent, low emissivity (reflective) products.
- Anti-sweat heater control – Equipment must sense the relative humidity or dew point in the air outside of the display case and reduces or turns off the glass door (if applicable) and frame anti-sweat heaters at low humidity conditions. Measure not applicable for low or zero energy doors where there are no anti-sweat heaters.
- Efficient Refrigeration Condenser: Oversized condenser approach requirements include air cooled low temp 8°F, air cooled medium temp 13°F, and evaporative-cooled 18°F.
- Head Pressure Controls: Controls must be installed that vary head pressure based on outdoor air temperature. At least a 20° minimum variance below design head pressure should be achieved during milder weather conditions. Qualifying systems must have variable set-point floating head controls to adjust condensing temperatures in relation to outdoor air temperatures. Incentives are only available to assist in the purchase of the hardware and installation. Incentive is limited to 50% of the project cost.
- **ENERGY STAR®** Solid and Glass Door Reach-in Freezers and Refrigerators – Must be **ENERGY STAR®** qualified Commercial solid or glass door model. [www.energystar.gov](http://www.energystar.gov)
- **ENERGY STAR®** Icemaker – Must be **ENERGY STAR®** qualified Commercial Ice Machine. [www.energystar.gov](http://www.energystar.gov)
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.

### Incentive Eligibility

- Incentives are only available to Duke Energy Kentucky retail electric commercial or industrial customers not in rate group TT (Time-of-Day Rate for Service at Transmission Voltage).
- Incentive will not be paid until equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Terms & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at anytime.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the appropriate places on this form to sign over the incentive. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- **Duke Energy limits incentive payments to a maximum of \$50,000 per fiscal year (July 1 through June 30) per facility for all eligible program technologies combined. Incentives will be paid on a "first-come, first-served" basis.**
- To reserve incentives prior to project completion, submit an application form completed in its entirety signed by the customer. Check box indicating "reservation" and provide an estimated date of completion. Attach a copy of the proposal and submit to Duke Energy at the address indicated on the front of this application. If project is not completed by the estimated completion date, you must notify Duke Energy of a revised date or Duke Energy retains the right to release the money from the reservation pool for other completed projects.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentives recipient assumes all responsibilities for any tax consequences resulting from Duke Energy's incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3<sup>rd</sup> party vendor. The 3<sup>rd</sup> party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3<sup>rd</sup> party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax id number and you elect not to sign the consent form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

**Terms and Conditions**

*I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection by a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and it's affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties); and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.*

## Incentive Application Instructions

### IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at [www.duke-energy.com](http://www.duke-energy.com).
2. Review program and equipment requirements on the incentive application. (Page 4)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application within 60 days **after** equipment has been installed and is operational.
5. **The following items are required to verify projects. If they are not included, it will delay payment of incentive.**
  - A. Itemized invoice for all equipment installed to include:
    - a. Equipment cost
    - b. Quantity per equipment type installed
    - c. Model # for each equipment type
    - d. Manufacturer's data sheet for each equipment model #.
  - B. Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
  - C. Provide required tax ID# for payee.
  - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
7. Fax the complete, signed application with all required documents to 1-866-908-4921 or mail to the following address:

Duke Energy  
Smart \$aver® Incentive Program  
431 Charmany Drive  
Madison, WI 53719
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

## Smart Saver® Incentive Program Requirements for Vendor Participation

### Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Smart Saver® Incentive Program.
- Under the Duke Energy Smart Saver® Incentive Program, Vendor is defined as any third party who:
  - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
  - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.
- Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by faxing the Vendor Participation Agreement (VPA) to **1-866-908-4921** or mail to the following address:  
  
Duke Energy  
Smart Saver® Incentive Program  
431 Charmany Drive  
Madison, WI 53719
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Smart Saver® Incentive Program Application.
- Vendors may use the words "Duke Energy's Smart Saver® Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Smart Saver® Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Smart Saver® Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.
- Duke Energy reserves the right to revoke a Vendor's participation in Smart Saver® Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Smart Saver® Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

### Guidelines for Vendor Activities

Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke energy's behalf.

For more information, call **1-866.380.9580** or visit [www.duke-energy.com](http://www.duke-energy.com).



## Smart Saver® Incentive Program

Technology	Responsible for sales and <b>not</b> installs*	Responsible for sales <b>and</b> Installation*	Technology	Responsible for sales and <b>not</b> installs*	Responsible for sales <b>and</b> Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

\* Check all that apply

Vendors who wish to be listed as a Smart Saver® Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **1-866-908-4921** or mail to:

Duke Energy  
 Smart Saver® Incentive Program  
 431 Charmany Drive  
 Madison, WI 53719

I have read and understand the Smart Saver® Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Smart Saver® Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, As you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail	
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit [www.duke-energy.com](http://www.duke-energy.com).