



Indiana Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to PrescriptiveIncentives@duke-energy.com, mail to: Duke Energy • 431 Charmany Drive • Madison, WI 53719 or fax to 1-866-908-4921

Is this application: **NEW** (original) or **REVISED** (changes made to original application) **Reservation** _____ (est. completion date)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Worship
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		

Customer Information				
Customer/Business		Contact		
Phone		Account Number (where equipment installed)*		
Street Address (Where incentive should be mailed)				
City		State		Zip Code
Installation Street Address				
City		State		Zip Code
E-mail Address				

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information				
Vendor		Contact		
Phone		Fax		
Street Address				
City		State		Zip Code
E-mail Address				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements as stated on Page 4 of this form.			
Customer Signature (written signature)		Vendor Signature (written signature)	
Date		Date	
Title		Title	

Incentives are subject to change and availability – "first-come", first-served" basis. To reserve incentives, see page 4. Duke Energy limits incentives to \$50,000 per calendar year per facility for all technologies combined. Equipment must be installed and operable to eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors.

Fixtures = Lamp + Ballasts Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated) See page 4 for additional fixture requirements	Incentive per Fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Lamps with Electronic Ballast T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.						
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	\$5.00		Hrs.			
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	\$7.00		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	\$11.00		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	\$9.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	\$4.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	\$3.00		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	\$10.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	\$6.50		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	\$4.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	\$3.00		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	\$6.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	\$4.20		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	\$4.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	\$3.00		Hrs.			
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	\$10.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	\$14.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	\$30.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	\$40.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	\$50.00		Hrs.			
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	\$60.00		Hrs.			
T-5 with Electronic Ballasts						
T5 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	\$5.00		Hrs.			
T5 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	\$8.00		Hrs.			
T5 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	\$10.00		Hrs.			
T5 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	\$12.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	\$6.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	\$9.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)						
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	\$11.00		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	\$13.00		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)						
T5 HO HB 2L replacing 150-249W HID (retrofit only)	\$30.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID(retrofit only)	\$40.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID(retrofit only)	\$50.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only)	\$60.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only)	\$75.00		Hrs.			

Fixtures See page 4 for additional fixture requirements	Incentive per Fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Compact Fluorescents (CFL)						
42W 8 lamp HB CFL replacing 400 W HID (retrofit only)	\$50.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only)	\$2.00		Hrs.			
CFL – Hardwired replacing or in lieu of incandescent fixture (only pin based CFL's qualify) Check one ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE	\$22.00		Hrs.			
Metal Halide – Pulse Start						
320W Pulse Start Halide replacing 400 W HID (retrofit only)	\$25.00		Hrs.			
Other Efficient Lighting Technologies						
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE	\$125.00/tube		Hrs.			
LED Exit Signs (replacing or retrofitting incandescent or compact fluorescent exit sign)	\$12.00/fixture		8,760Hrs.			
High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .						
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	\$4.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	\$6.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	\$10.00		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	\$12.00		Hrs.			
Reduced-Wattage T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps, or 30W T8 U lamps and approved CEE ballast OR relamp existing T8 fixtures with reduced-wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE reduced-wattage T8 qualified product list, go to www.cee1.org . Note: Reduced-wattage T8 compatibility varies; consult manufacturer's literature before specifying products.						
Low Watt High Performance T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	\$5.00		Hrs.			
Low Watt High Performance T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	\$7.00		Hrs.			
Low Watt High Performance T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	\$12.00		Hrs.			
Low Watt High Performance T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	\$14.00		Hrs.			
Relamp T8 4ft 32W fixtures with low Watt T8 lamps 28 watts or less	\$.50/bulb		Hrs.			
T12 8ft and 4ft fixture replace by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org						
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	\$12.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	\$20.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	\$30.00		Hrs.			
* R=Retrofit; NC=New Construction Project; FE=Replaced Failed Equipment						

Program Requirements

Equipment Eligibility

- Replacement must result in energy savings to qualify.
- All fluorescent fixtures utilize electronic ballast and T-8 lamps or T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- LED exit signs shall use 8 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor.
- Eligible T8 and T5 High Bays must have specular/mirror like or white reflectors, high ballast factor ballasts, and fixture efficiency **must** be >90%. Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90 % as indicated by either the total fixture (luminaire) efficiency rating or the 0-180% of lamp rating included in the zonal lumen summary chart. Must replace existing HID fixture to qualify.
- Low watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.

Incentive Eligibility

- Incentives are only available to Duke Energy Indiana retail electric commercial or industrial customer with a demand of 500 kw or less.
- Incentive will not be paid until each eligible item has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Terms & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at anytime.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the appropriate places on this form to sign over the incentive. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- **Duke Energy limits incentive payments to a maximum of \$50,000 per calendar year per facility for all eligible program technologies combined. Incentives will be paid on a "first-come, first-served" basis.**
- To reserve incentives prior to project completion, submit an application form completed in its entirety signed by the customer. Check box indicating "reservation" and provide an estimated date of completion. Attach a copy of the proposal and submit to Duke Energy at the address indicated on the front of this application. If project is not completed by the estimated completion date, you must notify Duke Energy of a revised date or Duke Energy retains the right to release the money from the reservation pool for other competed projects.
- Incentives recipient assumes all responsibilities for any tax consequences resulting from Duke Energy's incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax id number and you elect not to sign the consent form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection by a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and it's affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties); and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application.
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application within 90 days **after** equipment has been installed and is operational.
5. **The following items are required to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
7. Email the complete, signed application with all required documents to PrescriptiveIncentives@duke-energy.com or fax to 1-866-908-4921 or mail to the following address:

Duke Energy
Smart Saver™ Incentive Program
431 Charmany Drive
Madison, WI 53719
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Smart Saver® Incentive Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Smart Saver® Incentive Program.
- Under the Duke Energy Smart Saver® Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.
- Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by faxing the Vendor Participation Agreement (VPA) to **1-866-908-4921** or mail to the following address:

Duke Energy
Smart Saver® Incentive Program
431 Charmany Drive
Madison, WI 53719
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Smart Saver® Incentive Program Application.
- Vendors may use the words "Duke Energy's Smart Saver® Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Smart Saver® Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Smart Saver® Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.
- Duke Energy reserves the right to revoke a Vendor's participation in Smart Saver® Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Smart Saver® Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke energy's behalf.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.



Smart Saver[®] Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Smart Saver[®] Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **1-866-908-4921** or mail to:

Duke Energy
 Smart Saver[®] Incentive Program
 431 Charmany Drive
 Madison, WI 53719

I have read and understand the Smart Saver[®] Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Smart Saver[®] Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail	
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.